RDMP **Joost Bats**

After completing the EBEC approval online, a first draft RDMP is generated automatically

## Project name: PhD thesis – Monetary policy transmission in unconventional times: The role of financial structure

1. **Lead researcher:** Joost Bats
2. **Data steward:** Lisa Koks
3. **Research question(s):** Have the different measures of unconventional monetary policy by the Eurosystem been effective in sustaining the flow of finance in Europe’s bank-based economy?
4. **Data to be gathered (including location):**

 Financial and confidential monetary data, stemming from the GFDD, Bloomberg, Eurosystem Portfolio Management System, SDW, the Euro Area Monetary Policy Event-Study Database by Altavilla et al. (2019), IBSI, IMIR, Bank of England, Federal Reserve Bank.

1. **Method of data collection (in case of personal data indicate the basis (*grondslag*)):**

Basis being either *informed consent* or *legitimate interest (academic research)*

Digitally into excel.

## Individuals involved in data gathering, data manipulation/editing and with access to the data:

Joost Bats, Tom Hudepohl.

1. **Data Protection Impact Assessment** (not required)

required when a processing operation “*is likely to result in a high risk to the rights and freedoms of natural person*”.

* + a systematic description of the envisaged processing operations and the purposes of the processing, including where applicable the legitimate interest pursued by the controller;
	+ an assessment of the necessity and proportionality of the processing operations in relation to the purposes;
	+ an assessment of the risks to the rights and freedoms of data subjects that are likely to result from the processing (and in particular the origin, nature, particularity and severity of such risks); and
	+ the measures envisaged to address the risks, including safeguards, security measures and mechanisms to ensure the protection of personal data and demonstrate compliance with the GDPR.

Practically speaking a DPIA is needed when two or more of the casus below are applicable:

* + Assessing people based on personal characteristics
	+ Automated decision making
	+ Structured and large-scale monitoring
	+ Sensitive personal data
	+ Large-scale data processing
	+ Linked databases
	+ Data on vulnerable persons
	+ Use of new technologies
	+ Blocking of (a) right(s), service(s) or contract(s)

When data on genetics and/or health is concerned, a DPIA is mandatory. Please contact the data steward when drafting a DPIA so we can guide you in the process.

## Data editing/manipulation steps (e.g. SPSS Syntax files, R scripts).

Excel, Stata, Eviews

1. **Where and how will the data be stored (including temporary storage for research use) and security measures applied:**

The data is stored in confidential folders of the RAN-account of De Nederlandsche Bank

1. **Approval EBEC (Economics & Business Ethics Committee) obtained:** No
2. **Intellectual property, copyright and ownership of the data:**

The researcher **Joost Bats** hereby states that the data will be stored will be in line with the UvA guidelines and UvA EB protocol on RDM.

**X**